



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Masaki KURASAWA et al.**

Serial No.: **09/960,398**

RECEIVED  
JUL 8 2002  
TECHNOLOGY CENTER 7-17-02  
2800

Group Art Unit: **2813**

Filed: **September 24, 2001**

Examiner: **Chandra P. Chaudhari**

FOR: **CAPACITOR AND METHOD FOR FABRICATING THE SAME, AND  
SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME**

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. 1.97(c)**

Commissioner for Patents  
Washington, D.C. 20231

Date: **July 3, 2002**

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. A copy of each of the documents is attached herewith.

No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits or expiration of the three month period following the filing date or the entry of the national stage of the above-captioned application.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

By: **Masaki KURASAWA et al.**  
Serial No. **09/960,398**

Group Art Unit: **2813**  
Examiner: **Chandra P. Chaudhari**

The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this statement to our Deposit Account No. 01-2340. Two copies of this authorization are attached.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP



Kenneth H. Salen  
Attorney for Applicants  
Reg. No. 43,077

Atty. Docket No. **011254**  
1725 K Street, N.W., Suite 1000  
Washington, DC 20006  
Tel: (202) 659-2930  
Fax: (202) 887-0357  
KHS/meu  
Enclosures: Form PTO-1449  
5 References

Q:\FLOATERS\KHS\01\011254 IDS after 1st 7-2-02.wpd